UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

12/09/2010

**EXAMINER** BLAIR, KILE O

Thomson Licensing Inc Patent Operations P O Box 5312 Princeton, NJ 08543-5312

Joseph J Laks

ART UNIT

PAPER NUMBER

2614

DATE MAILED: 12/09/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/581,107	05/31/2006	Jurgen Schmidt	PD030121	5195

TITLE OF INVENTION: METHOD FOR CODING AND DECODING IMPULSE RESPONSES OF AUDIO SIGNALS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	03/09/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless correcte naintenance fee notifica	correspondence includir ed below or directed oth	ng the Patent, advance on herwise in Block 1, by (a	rders and notification of a) specifying a new corr	maintenance fees vespondence address;	vill be i ; and/or	mailed to the current (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for	
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	Fe	e(s) Transmittal Th	is certifi	icate cannot be used for	domestic mailings of the or any other accompanying at or formal drawing, must	
Joseph J Laks Thomson Licens Patent Operation		/2010	I l	Cer hereby certify that the	tificate	of Mailing or Transn s) Transmittal is being		
P O Box 5312 Princeton, NJ 08	3543-5312						(Depositor's name)	
,			<u> </u>				(Signature)	
			L				(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.	
10/581,107	05/31/2006		Jurgen Schmidt			PD030121	5195	
APPLN, TYPE	SMALL ENTITY	NG AND DECODING IN	PUBLICATION FEE DUE	_		TOTAL FEE(S) DUE	DATE DUE	
		\$1510			E PEE	` ,		
nonprovisional	NO	T	\$300 T	\$0 <b>¬</b>		\$1810	03/09/2011	
EXAM		ART UNIT	CLASS-SUBCLASS	J				
BLAIR,	KILE O ence address or indication	2614	381-017000  2. For printing on the					
☐ "Fee Address" ind	oondence address (or Cha B/122) attached. ication (or "Fee Address' )2 or more recent) attach	(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.						
ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.  (A) NAME OF ASSIGNEE  (B) RESIDENCE: (CITY and STATE OR COUNTRY)								
lease check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent):	■ Individual □ Co	orporati	on or other private gro	up entity Government	
,	are submitted:  No small entity discount p # of Copies	b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).						
a. Applicant claim	<b>tus</b> (from status indicated as SMALL ENTITY statu	ıs. See 37 CFR 1.27.	b. Applicant is no lo	-				
NOTE: The Issue Fee an nterest as shown by the	d Publication Fee (if requeecords of the United Sta	uired) will not be accepted tes Patent and Trademark	d from anyone other than Office.	the applicant; a regi	istered a	attorney or agent; or the	e assignee or other party in	
Authorized Signature				Date				
Typed or printed name				Registration N	No			
n application. Confiden ubmitting the completed his form and/or suggesti	tiality is governed by 35 d application form to the ions for reducing this bu	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the	1.14. This collection is endepending upon the independing upon the independent of the control of	stimated to take 12 i ividual case. Any co cer. U.S. Patent and	minutes omment Tradem	to complete, including s on the amount of tim park Office, U.S. Depa	by the USPTO to process) g gathering, preparing, and se you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,	

Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/581,107	05/31/2006	Jurgen Schmidt	PD030121	5195	
7:	590 12/09/2010		EXAM	IINER	
Joseph J Laks			BLAIR, KILE O		
Thomson Licensin	Thomson Licensing Inc			PAPER NUMBER	
Patent Operations P O Box 5312 Princeton, NJ 0854			2614 DATE MAILED: 12/09/2010		

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 399 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 399 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)					
	10/581,107	SCHMIDT ET AL.					
Notice of Allowability	Examiner	Art Unit					
	Kile Blair	2614					
		1					
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comr <b>GHTS</b> . This application is	in this application. If not included nunication will be mailed in due course.					
1. This communication is responsive to <u>9/23/10</u> .							
2. The allowed claim(s) is/are <u>1,3-6 and 8-11</u> .							
3. Acknowledgment is made of a claim for foreign priority ur  a) All b) Some* c) None of the:	• , , ,	) or (f).					
1. Certified copies of the priority documents have		ion No					
<ul><li>2.  Certified copies of the priority documents have</li><li>3.  Copies of the certified copies of the priority do</li></ul>			m tha				
International Bureau (PCT Rule 17.2(a)).	cuments have been receiv	ed in this national stage application hol	iii tile				
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.							
4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.							
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.						
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Revi	ew ( PTO-948) attached					
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date							
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date							
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).							
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.							
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	E □ Notice of	Informal Patent Application					
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	<u> </u>	Summary (PTO-413),					
	Paper No	o./Mail Date					
3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	/. ⋈ Examiner	s Amendment/Comment					
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	_	s Statement of Reasons for Allowance					
	9. ☑ Other <u>Atta</u>	achment showing amendment to claim	<u>11</u> .				

### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Jack Schwartz on 11/10/10.

The application has been amended as follows:

Claim 11 is amended as shown on the attachment. Claims 1, 3-6, and 8-10 are allowed as filed 3/2/10.

### Allowable Subject Matter

The following is an examiner's statement of reasons for allowance: The prior art of record does not appear to teach encoding multiple successive MPEG-4 PROTO params fields of an MPEG-4 BIFS stream for transmission of one or more impulse responses associated with a coded audio signal as specifically recited in claims 1, 6, and 11 and

Applicant's argument pages 12-13 of the appeal brief filed 9/23/10 is persuasive that it would not have been obvious, based on the prior art of record, to combine MPEG-4 PROTO params fields of an MPEG-4 BIFS stream with transmission of one or more

impulse responses associated with a coded audio signal as specifically recited in claims 1, 6, and 11 is persuasive.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kile Blair whose telephone number is (571) 270-3544. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vivian Chin can be reached on (571) 272-7848. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/581,107 Page 4

Art Unit: 2614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

KΒ

/VIVIAN CHIN/ Supervisory Patent Examiner, Art Unit 2614